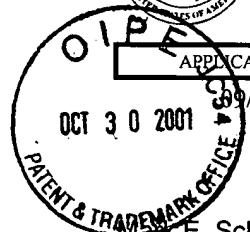


#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/912,589	07/24/2001	David P. Bour	M-8772 US

OCT 30 2001

MARK E. Schmidt
SKJERVEN MORRILL MacPHERSON LLP
Suite 700
25 Metro Drive
San Jose, CA 95110-1349

CONFIRMATION NO. 7208
FORMALITIES LETTER



OC00000006507693

Date Mailed: 09/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

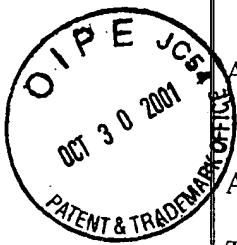
12/20/2001 NROCHA1 00000054 192386 09912589

01 FC:105 130.00 CH

12-19-01

Seated 1/9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s): David P. Bour, Nathan F. Gardner, Werner K. Goetz, Stephen A. Stockman, Tetsuya Takeuchi, Ghulam Hasnain, Christopher P. Kocot, Mark R. Hueschen
Assignee: LumiLeds Lighting, U.S., LLC
Title: Light Emitting Diodes With Graded Composition Active Regions
Serial No.: 09/912,589 Filing Date: July 24, 2001
Examiner: Unknown Group Art Unit: Unknown
Docket No.: M-8772 US

San Jose, California
October 30, 2001

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-
PROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on September 4, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63.
2. Copy of Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

LAW OFFICES OF
SKJERVEN MORRILL
MacPHERSON LLP
25 METRO DRIVE
SUITE 700
SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979

1.	Surcharge for filing declaration on a date later than the filing date of the application.	\$130.00
2.	Statutory basic filing fee.	
3.	TOTAL FEES:	\$ 130.00

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of July 24, 2001. Please telephone the undersigned at (408) 453-9200, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

EL884816631US

Respectfully submitted,

Mark E. Schmidt

Mark E. Schmidt
Agent for Applicant(s)
Reg. No. 47,063

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